# CISC 1400 Discrete Structures

Chapter 2 Sequences

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### Outline

- Finding patterns
- Notation
  - Closed form
  - Recursive form
  - Converting between them
- Summations

What number comes next?

• 1, 2, 3, 4, 5,

What number comes next?

• 1, 2, 3, 4, 5, 6

- 1, 2, 3, 4, 5, 6
- 2, 6, 10, 14, 18,

- 1, 2, 3, 4, 5, 6
- 2, 6, 10, 14, 18, 22

- 1, 2, 3, 4, 5, 6
- 2, 6, 10, 14, 18, 22
- 1, 2, 4, 8, 16,

- 1, 2, 3, 4, 5, 6
- 2, 6, 10, 14, 18, 22
- 1, 2, 4, 8, 16, 32

- 1, 2, 3, 4, 5, 6
- 2, 6, 10, 14, 18, 22
- 1, 2, 4, 8, 16, 32
- 1, 3, 6, 10, 15,

- 1, 2, 3, 4, 5, 6
- 2, 6, 10, 14, 18, 22
- 1, 2, 4, 8, 16, 32
- 1, 3, 6, 10, 15, 21

- 1, 2, 3, 4, 5, 6
- 2, 6, 10, 14, 18, 22
- 1, 2, 4, 8, 16, 32
- 1, 3, 6, 10, 15, 21
- 1, 2, 6, 24, 120,

- 1, 2, 3, 4, 5, 6
- 2, 6, 10, 14, 18, 22
- 1, 2, 4, 8, 16, 32
- 1, 3, 6, 10, 15, 21
- 1, 2, 6, 24, 120, 720

- 1, 2, 3, 4, 5, 6
- 2, 6, 10, 14, 18, 22
- 1, 2, 4, 8, 16, 32
- 1, 3, 6, 10, 15, 21
- 1, 2, 6, 24, 120, 720
- 1, 1, 2, 3, 5, 8, 13,

- 1, 2, 3, 4, 5, 6
- 2, 6, 10, 14, 18, 22
- 1, 2, 4, 8, 16, 32
- 1, 3, 6, 10, 15, 21
- 1, 2, 6, 24, 120, 720
- 1, 1, 2, 3, 5, 8, 13, 21

# Discovering the pattern

- Each term might be related to previous terms
- Each term might depend on its position number (1st, 2nd, 3rd,...)
- "Well-known" sequences (even numbers, odd numbers)
- Some (or all) of the above

Can we relate a term to previous terms?

• Second term is 2 more than first term.

Can we relate a term to previous terms?

- Second term is 2 more than first term.
- Third term is 2 more than second term.

Can we relate a term to previous terms?

- Second term is 2 more than first term.
- Third term is 2 more than second term.
- Any given term is 2 more than previous term.

Can we describe each term by its position in the sequence?

• Term at position 1 is 2.

Can we describe each term by its position in the sequence?

- Term at position 1 is 2.
- Term at position 2 is 4.

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- Term at position 1 is 2.
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- Term at position 3 is 6.

Can we describe each term by its position in the sequence?

- Term at position 1 is 2.
- Term at position 2 is 4.
- Term at position 3 is 6.

:

• Term at position *n* is 2*n*.

- Write term in a sequence as a lower case letter, followed by a *subscript* denoting position number of the term (e.g.,  $a_1$ ,  $b_7$ ,  $z_k$ ).
- For the sequence 2, 4, 6, 8, 10, ...:
  - *a*<sub>1</sub> =

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- For the sequence 2, 4, 6, 8, 10, . . . :
  - $a_1 = 2$ .

- Write term in a sequence as a lower case letter, followed by a *subscript* denoting position number of the term (e.g.,  $a_1, b_7, z_k$ ).
- For the sequence 2, 4, 6, 8, 10, ...:
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  - $a_2 =$

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- What is  $a_3$ ?

- Write term in a sequence as a lower case letter, followed by a *subscript* denoting position number of the term (e.g.,  $a_1, b_7, z_k$ ).
- For the sequence 2, 4, 6, 8, 10, ...:
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- What is *a*<sub>5</sub>?

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- What is  $a_{n+1}$  if n = 5?

### Mathematical notation

- Write term in a sequence as a lower case letter, followed by a *subscript* denoting position number of the term (e.g.,  $a_1$ ,  $b_7$ ,  $z_k$ ).
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• Recursive formula for a sequence: each term is described in relation to previous term(s). For example:

$$a_n = 2a_{n-1}$$

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$$a_n = 2a_{n-1}$$

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$$a_3 = 2a_2 = 2 \cdot (2a_1) = 4a_1 = 4 \cdot (2a_0)$$

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• Problem: Need a starting point (initial condition) such as

$$a_1 = 1$$

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• Problem: Need a starting point (initial condition) such as

$$a_1 = 1$$

So let's try

$$a_n = 2a_{n-1} \qquad \text{for } n \ge 2$$
$$a_1 = 1$$

• Example:

$$a_3 = 2a_2 = 2 \cdot (2a_1) = 4a_1 = 4 \cdot 1 = 4$$

• 1,1,2,3,5,8,13,...

- 1,1,2,3,5,8,13,...
- Recursive formula:

$$a_n = a_{n-1} + a_{n-2}$$
 for  $n \ge 3$   
 $a_2 = 1$   
 $a_1 = 1$ 

- 1,1,2,3,5,8,13,...
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• What's  $a_{10}$ ? Top-down solution:

$$a_{10} = a_9 + a_8$$

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• What's  $a_{10}$ ? Top-down solution:

$$a_{10} = a_9 + a_8 = (a_8 + a_7) + (a_7 + a_6)$$

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Too hard!

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Too hard!

| n     | 1 | 2 | 3 | 4 | 5 | 6 | 7  | 8  | 9  | 10 |
|-------|---|---|---|---|---|---|----|----|----|----|
| $a_n$ | 1 | 1 | 2 | 3 | 5 | 8 | 13 | 21 | 34 | 55 |

#### Recursion

• Recursive formula corresponds to "recursive function" in a programming language.

### Recursion

- Recursive formula corresponds to "recursive function" in a programming language.
- Fibonacci formula

$$a_n = a_{n-1} + a_{n-2} \qquad \text{for } n \ge 3$$

$$a_2 = 1$$

$$a_1 = 1$$

#### Recursion

- Recursive formula corresponds to "recursive function" in a programming language.
- Fibonacci formula

$$a_n = a_{n-1} + a_{n-2}$$
 for  $n \ge 3$   
 $a_2 = 1$   
 $a_1 = 1$ 

Recursive function

```
def fib(n):
    if n==1 or n==2:
        return 1
    else:
        return fib(n-1) + fib(n-2)
```

• 2, 4, 6, 8, 10, ...

• 2, 4, 6, 8, 10, ...

$$a_n = a_{n-1} + 2$$
 for  $n \ge 2$   
 $a_1 = 2$ 

• 2, 4, 6, 8, 10, ...

$$a_n = a_{n-1} + 2$$
 for  $n \ge 2$   
 $a_1 = 2$ 

• 1, 3, 6, 10, 15, ...

• 2, 4, 6, 8, 10, ...

$$a_n = a_{n-1} + 2 \qquad \text{for } n \ge 2$$
$$a_1 = 2$$

• 1, 3, 6, 10, 15, ...

$$a_n = a_{n-1} + n$$
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• 2, 4, 6, 8, 10, ...

$$a_n = a_{n-1} + 2 \qquad \text{for } n \ge 2$$
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• 1, 3, 6, 10, 15, ...

$$a_n = a_{n-1} + n$$
 for  $n \ge 2$   
 $a_1 = 1$ 

• 2, 2, 4, 6, 10, 16, ...

## Exercise: Find recursive formula

• 2, 4, 6, 8, 10, ...

$$a_n = a_{n-1} + 2$$
 for  $n \ge 2$   
 $a_1 = 2$ 

• 1, 3, 6, 10, 15, ...

$$a_n = a_{n-1} + n$$
 for  $n \ge 2$   
 $a_1 = 1$ 

• 2, 2, 4, 6, 10, 16, ...

$$a_n = a_{n-1} + a_{n-2}$$
 for  $n \ge 3$   
 $a_2 = 2$   
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- Write each term in relation to its position
- Example: 2, 4, 6, 8, 10, ...
  - $a_1 = 2 =$

- Write each term in relation to its position
- Example: 2, 4, 6, 8, 10, ...
  - $a_1 = 2 = 2 \cdot 1$
  - $a_2 = 4 =$

- Write each term in relation to its position
- Example: 2, 4, 6, 8, 10, ...
  - $a_1 = 2 = 2 \cdot 1$
  - $a_2 = 4 = 2 \cdot 2$
  - $a_3 = 6 =$

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- Example: 2, 4, 6, 8, 10, ...
  - $a_1 = 2 = 2 \cdot 1$
  - $a_2 = 4 = 2 \cdot 2$
  - $a_3 = 6 = 2 \cdot 3$
  - More generally,  $a_n =$

- Write each term in relation to its position
- Example: 2, 4, 6, 8, 10, ...
  - $a_1 = 2 = 2 \cdot 1$
  - $a_2 = 4 = 2 \cdot 2$
  - $a_3 = 6 = 2 \cdot 3$
  - More generally,  $a_n = 2n$ .

• 1, 3, 5, 7, 9, ...

• 1, 3, 5, 7, 9, ... 
$$a_n = 2n - 1$$

- 1, 3, 5, 7, 9, ...  $a_n = 2n 1$
- 3, 6, 9, 12, 15, ...

• 1, 3, 5, 7, 9, ... 
$$a_n = 2n - 1$$

• 
$$3, 6, 9, 12, 15, \dots b_n = 3n$$

- 1, 3, 5, 7, 9, ...  $a_n = 2n 1$
- $3, 6, 9, 12, 15, \dots b_n = 3n$
- 8, 13, 18, 23, 28, ...

• 1, 3, 5, 7, 9, ... 
$$a_n = 2n - 1$$

- 3, 6, 9, 12, 15, ...  $b_n = 3n$
- 8, 13, 18, 23, 28, ...  $c_n = 5n + 3$

• 1, 3, 5, 7, 9, ... 
$$a_n = 2n - 1$$

- $3, 6, 9, 12, 15, \dots b_n = 3n$
- 8, 13, 18, 23, 28, ...  $c_n = 5n + 3$
- 3, 9, 27, 81, 243, ...

• 1, 3, 5, 7, 9, ... 
$$a_n = 2n - 1$$

- $3, 6, 9, 12, 15, \dots b_n = 3n$
- 8, 13, 18, 23, 28, ...  $c_n = 5n + 3$
- 3, 9, 27, 81, 243, ...  $d_n = 3^n$

## Recursive formulas vs. closed formulas

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  - It's often easier to find a recursive formula for a given sequence.
  - It's often harder to evaluate a given term.

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- Closed formula
  - It's often harder to find a closed formula for a given sequence.
  - It's often easier to evaluate a given term.

- Write out a few terms.
- See if you can figure out how a given term relates to previous terms.
- Example:  $r_n = 3n + 4$ .

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- Write out a few terms.
- See if you can figure out how a given term relates to previous terms.
- Example:  $r_n = 3n + 4$ .

We find

$$r_n = r_{n-1} + 3$$
 for  $n \ge 2$   
 $r_1 = 7$ 

Can also use algebraic manipulation. Let's try

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So

$$r_n - r_{n-1} = (3n+4) - (3n+1) = 3,$$

i.e.,

$$r_n = r_{n-1} + 3$$

$$s_n = 2^n - 2$$

Initial condition:

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• Initial condition:  $s_1 = 2^1 - 2 = 0$ .

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=  $2 \cdot (2^{n-1} - 2) + 2$   
=  $2s_{n-1} + 2$ 

### Exercise

Find the recursive formulas for the following sequences:

• 
$$a_n = 2n + 7$$

#### Exercise

Find the recursive formulas for the following sequences:

- $a_n = 2n + 7$ 
  - $a_1 = 9$
  - $a_n = a_{n-1} + 2$  for  $n \ge 2$ .

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Find the recursive formulas for the following sequences:

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• 
$$a_1 = 9$$

• 
$$a_n = a_{n-1} + 2$$
 for  $n \ge 2$ .

• 
$$b_n = 2^n - 1$$

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$$a_1 = 9$$

• 
$$a_n = a_{n-1} + 2$$
 for  $n \ge 2$ .

• 
$$b_n = 2^n - 1$$

• 
$$b_1 = 1$$

• 
$$b_n = 2b_{n-1} + 1$$
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Parts of speech?

• Large Σ: "summation"

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- n at the top: We want to stop summation at the nth term of the sequence
- Portion to the right of the  $\sum_{i=1}^{n}$ : Closed form of sequence we want to sum.

$$\sum_{i=1}^{5} (3i+7)$$

$$\sum_{i=1}^{5} (3i+7) = (3\cdot 1+7) + (3\cdot 2+7) + (3\cdot 3+7) + (3\cdot 4+7)$$
$$+ (3\cdot 5+7)$$
$$= 10+13+16+19+22=80$$

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$$\sum_{j=2}^{6} (j^2-2)$$

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$$\sum_{j=2}^{6} (j^2-2) = (2^2-2) + (3^2-2) + (4^2-2) + (5^2-2) + (6^2-2)$$

$$= 2+7+14+23+34=80$$

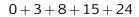
Note: Parentheses are important!

$$\sum_{i=1}^{5} 3i + 7 = (3 \cdot 1 + 3 \cdot 2 + 3 \cdot 3 + 3 \cdot 4 + 3 \cdot 5) + 7 = 52$$

$$3+7+11+15+19$$

$$3+7+11+15+19=\sum_{i=1}^{5}(4i-1)$$

$$3+7+11+15+19 = \sum_{i=1}^{5} (4i-1)$$
$$= \sum_{j=1}^{5} (4j-1)$$



$$3+7+11+15+19 = \sum_{i=1}^{5} (4i-1)$$
$$= \sum_{j=1}^{5} (4j-1)$$
$$0+3+8+15+24 = \sum_{k=1}^{5} (k^2-1)$$

Suppose you have a statement P(n) about the positive integer n. How would you prove that P(n) is true for all  $n \in \mathbb{Z}^+$ ?

Prove P(1)

Suppose you have a statement P(n) about the positive integer n. How would you prove that P(n) is true for all  $n \in \mathbb{Z}^+$ ?

Prove P(1)

Prove P(2)

- Prove P(1)
- Prove P(2)
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```
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:

Prove P(100000000)
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```
Prove P(1)
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Prove P(3)
Prove P(4)

:
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```

But this doesn't guarantee that P(n) is true for all n; maybe P(10000001) is false!!

Want to show that

$$\sum_{j=1}^{n} j = \frac{1}{2}n(n+1) \qquad \forall n \in \mathbb{Z}^{+},$$

or, if you prefer,

$$1+2+\cdots+n=\frac{1}{2}n(n+1) \qquad \forall n\in\mathbb{Z}^+.$$

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|  | l . |   |   |    |    |    | 7  |    |    |    |
|--|-----|---|---|----|----|----|----|----|----|----|
| $\frac{\sum_{j=1}^{n} j}{\frac{1}{2}n(n+1)}$ | 1   | 3 | 6 | 10 | 15 | 21 | 28 | 36 | 45 | 55 |
| $\frac{1}{2}n(n+1)$                          | 1   | 3 | 6 | 10 | 15 | 21 | 28 | 36 | 45 | 55 |

#### Dominoes!!



# Suppose:

- You're going to push the first one over.
- If any given domino has fallen down, the next one after it will also fall down.

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- You're going to push the first one over.
- If any given domino has fallen down, the next one after it will also fall down.

They'll all fall down!

Let P(n) be a statement about the positive integer  $n \in \mathbb{Z}^+$ . Suppose we can prove the following:

- Basis step: P(1) is true.
- Induction step: If P(k) is true for some arbitrary  $k \in \mathbb{Z}^+$ , then P(k+1) is true.

Then P(n) is true for all  $n \in \mathbb{Z}^+$ .

Why?

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- P(4) being true implies P(4+1) = P(5) is true (induction step).

... and so on

#### Theorem

$$\sum_{j=1}^{n} j = \frac{1}{2} n(n+1) \qquad \forall n \in \mathbb{Z}^{+}$$

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**Proof (by induction):** For  $n \in \mathbb{Z}^+$ , the statement P(n) we're trying to prove is

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# Example: Sum of the first *n* positive integers (cont'd)

#### Theorem

$$\sum_{j=1}^{n} j = \frac{1}{2} n(n+1) \qquad \forall n \in \mathbb{Z}^{+}$$

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Basis step: Let n = 1. Then

$$\sum_{i=1}^{n} j = \sum_{i=1}^{1} j = 1 \quad \text{and} \quad \frac{1}{2} n(n+1) = \frac{1}{2} \cdot 1 \cdot (1+1) = 1.$$

So formula (1) is true when n = 1, i.e., P(1) is true.

**Induction step:** Let  $k \in \mathbb{Z}^+$ , and suppose that P(k) is true; we need to show that P(k+1) is true. Since P(k) is true, we know that

$$\sum_{j=1}^k j = \frac{1}{2}k(k+1)$$

**Induction step:** Let  $k \in \mathbb{Z}^+$ , and suppose that P(k) is true; we need to show that P(k+1) is true. Since P(k) is true, we know that

$$\sum_{j=1}^k j = \frac{1}{2}k(k+1)$$

Using this as a starting point, we want to show that P(k+1) is true, i.e., that

$$\sum_{j=1}^{k+1} j = \frac{1}{2}(k+1)((k+1)+1) = \frac{1}{2}(k+1)(k+2).$$

## Induction step (cont'd): But

$$\sum_{j=1}^{k+1} j = \left(\sum_{j=1}^{k} j\right) + (k+1)$$

$$= \frac{1}{2}k(k+1) + (k+1) \qquad \text{by the induction hypothesis}$$

$$= \left(\frac{1}{2}k + 1\right)(k+1)$$

$$= \frac{1}{2}(k+2)(k+1),$$

as required to prove that P(k+1) is true.

## Induction step (cont'd): But

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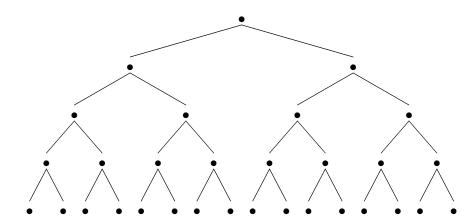
$$= \left(\frac{1}{2}k + 1\right)(k+1)$$

$$= \frac{1}{2}(k+2)(k+1),$$

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# Example: Number of leaves in complete binary tree

Here's a complete binary tree with five levels:



- Terminology:
  - Tree? All edges go from a given level to the next level.

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- Terminology:
  - Tree? All edges go from a given level to the next level.
  - Binary? No more than two descendants per node.
  - Complete? Each node has exactly two descendants.
- Question: How many nodes branch out from the nth level of a complete binary tree?
- Get an idea by making a table. Let  $b_n$  denote the number of nodes branching out from the nth level. Looking at the drawing we saw earlier:

• This suggests that  $b_n = 2^n$ .

### Theorem

For  $n \in \mathbb{Z}^+$ , let  $b_n$  be the number of nodes branching out from the nth level of a complete binary tree. Then  $b_n = 2^n$ 

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**Proof (by induction):** For  $n \in \mathbb{Z}^+$ , the statement P(n) we're trying to prove is

$$b_n = 2^n. (2)$$

Basis step: Let n = 1. Looking at the first level of the binary tree, it is immediately clear that  $b_1 = 2$ . So P(1) is true.

**Induction step:** Let  $k \in \mathbb{Z}^+$ , and suppose that P(k) is true; we need to show that P(k+1) is true. Since P(k) is true, we know that  $b_k = 2^k$ . **Induction step:** Let  $k \in \mathbb{Z}^+$ , and suppose that P(k) is true; we need to show that P(k+1) is true. Since P(k) is true, we know that  $b_k = 2^k$ .

 Since we're working with a complete binary tree, each node at any level branches out to two nodes at the next level.

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- Since we're working with a complete binary tree, each node at any level branches out to two nodes at the next level.
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- So  $b_{k+1} = 2b_k$ .

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  - So  $b_{k+1} = 2b_k$ .

Hence

$$b_{k+1} = 2b_k$$
  
=  $2 \cdot 2^k$  (by the induction hypothesis)  
=  $2^{k+1}$ .

as required to prove that P(k + 1) is true.

Since P(k) is true, we know that  $b_k = 2^k$ .

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• So 
$$b_{k+1} = 2b_k$$
.

Hence

$$b_{k+1}=2b_k$$

$$=2^{k+1}$$
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